

**Charlotte Woodward**

**From:** LDN-SECOPS-MB <secops@edfmancapital.com>  
**Sent:** 20 March 2014 17:17  
**To:** LDN-EQUITYFINANCE-REPORTING-MB  
**Attachments:** ATT00001.bin

**Trade Reference 231626**

E D & F Man Capital Markets Limited  
 Cottons Centre, Hay's Lane, London, SE1 2QE  
 Tel 0207 089 8000

Trade Date: 20/03/2014  
 Customer: DW CONSTRUCTION INC RETIREMENT PLAN  
 Broker: ED&F Man Capital Markets Ltd  
 Customer Trade Type: Sell  
 Sec Desc: NFY16APR2014BCLEAR  
 Exchange: LIFFE  
 Price: 243.13  
 Quantity: 38,500  
 Currency: DKK  
 Comments: External Sequence: EQ28602-105209 Execution Time: Thursday March 20, 2014  
 12:00:00 AM Batch Id: 20140320\_018127  
 Direct Commission: 0.00

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

This transaction has been undertaken in accordance with E D & F Man Capital Markets Limited Terms of Business and Execution Policy. A copy of this policy is available from E D & F Man Capital Markets Limited and its affiliates, may receive monetary remuneration for directing order flow to particular broker/dealer or market centres for execution. When such remuneration is received, it is considered compensation to E D & F Man Capital Markets Limited or its affiliates. The source and amount of any compensation received will be disclosed.

Should you have any issue related to this confirm please contact our Settlements Dept:

Tel: +44 (0) 20 3580 7234  
 Email: secops@edfmancapital.com

E D & F Man Capital Markets Limited is authorised and regulated by the Financial Services Authority Registered in England No. 194926

**Charlotte Woodward**

**From:** LDN-SECOPS-MB <secops@edfmancapital.com>  
**Sent:** 20 March 2014 17:17  
**To:** LDN-EQUITYFINANCE-REPORTING-MB  
**Attachments:** ATT00001.bin

Trade Reference 231974

E D & F Man Capital Markets Limited  
 Cottons Centre, Hay's Lane, London, SE1 2QE  
 Tel 0207 089 8000

Trade Date: 20/03/2014  
 Settlement Date: 26/03/2014  
 Customer: DW CONSTRUCTION INC RETIREMENT PLAN  
 Broker: ED&F Man Capital Markets Ltd  
 Trade Type: Buy  
 Sec Desc: NOVO NORDISK A/S-B  
 ISIN: DK0060534915  
 Gross Price: 246.703250  
 Net Price: 246.703250  
 Quantity: 3,850,000  
 Settlement Method: DNI  
 Net Cash: 949,807,512.50  
 Currency: DKK  
 Comments: External Sequence: EQ28573-105287 Execution Time: Thursday March 20,2014  
 12:00:00 AM Batch Id: 20140320\_018150  
 Commission:

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

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**Charlotte Woodward**

**From:** LDN-SECOPS-MB <secops@edfmancapital.com>  
**Sent:** 20 March 2014 17:17  
**To:** LDN-EQUITYFINANCE-REPORTING-MB  
**Attachments:** ATT00001.bin

Trade Reference 231625

E D & F Man Capital Markets Limited  
 Cottons Centre, Hay's Lane, London, SE1 2QE  
 Tel 0207 089 8000

Trade Date: 20/03/2014  
 Customer: AMERICAN INVESTMENT GROUP OF NY L.P PENSION PLAN  
 Broker: ED&F Man Capital Markets Ltd  
 Customer Trade Type: Sell  
 Sec Desc: NFY16APR2014BCLEAR  
 Exchange: LIFFE  
 Price: 243.13  
 Quantity: 35,000  
 Currency: DKK  
 Comments: External Sequence: EQ28602-105203 Execution Time: Thursday March 20,2014  
 12:00:00 AM Batch Id: 20140320\_018127  
 Direct Commission: 0.00

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

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 Email: secops@edfmancapital.com

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**Charlotte Woodward**

**From:** LDN-SECOPS-MB <secops@edfmancapital.com>  
**Sent:** 20 March 2014 17:17  
**To:** LDN-EQUITYFINANCE-REPORTING-MB  
**Attachments:** ATT00001.bin

**Trade Reference 231973**

E D & F Man Capital Markets Limited  
 Cottons Centre, Hay's Lane, London, SE1 2QE  
 Tel 0207 089 8000

Trade Date: 20/03/2014  
 Settlement Date: 26/03/2014  
 Customer: AMERICAN INVESTMENT GROUP OF NY L.P PENSION PLAN  
 Broker: ED&F Man Capital Markets Ltd  
 Trade Type: Buy  
 Sec Desc: NOVO NORDISK A/S-B  
 ISIN: DK0060534915  
 Gross Price: 246.703250  
 Net Price: 246.703250  
 Quantity: 3,500,000  
 Settlement Method: DNI  
 Net Cash: 863,461,375.00  
 Currency: DKK  
 Comments: External Sequence: EQ28573-105286 Execution Time: Thursday March 20,2014  
 12:00:00 AM Batch Id: 20140320\_018150  
 Commission:

All trades are conducted off-exchange. Information regarding the type of order given by you and whether we have used an affiliate to facilitate execution and/or settlement are available on request. Time Stamps are GMT

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Should you have any issue related to this confirm please contact our settlements Dept:

Tel: +44 (0) 20 3580 7234  
 Email: secops@edfmancapital.com

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**Charlotte Woodward**

---

**From:** GOODWIN, Richard (CH Volcafe) <RGOODWIN@volcafe.ch>  
**Sent:** 20 March 2014 11:24  
**To:** Bottomley, Oliver (LDN); Ireland, Freddie (LDN)  
**Subject:** Confirm 12,000,000 NOVOB DC T+4  
**Attachments:** 20032014NOVOBDC(MCM2).pdf

Oliver:

### Volcafe Cash Equity Confirmation

|            |        |            |
|------------|--------|------------|
| Trade Date | 20-Mar | Trade time |
| Value Date | 26-Mar | 12:11:29   |

|                          |                  |
|--------------------------|------------------|
| ED&F Man Capital Markets | BUYS             |
| Trader                   | OLIVER BOTTOMLEY |

|       |                                 |
|-------|---------------------------------|
| Stock | NOVO NORDISK A/S-B              |
| ISIN  | NOVOB DC Equity<br>DK0060534915 |

|               |            |
|---------------|------------|
| Number Shares | 12,000,000 |
|---------------|------------|

|        |               |
|--------|---------------|
| Splits | 8 x 1,500,000 |
|--------|---------------|

|             |           |
|-------------|-----------|
| CCY         | DKK       |
| Gross Price | 246.70000 |

|          |                  |
|----------|------------------|
| Notional | 2,960,400,000.00 |
|----------|------------------|

|           |           |
|-----------|-----------|
| Net Price | 246.70325 |
|-----------|-----------|

|              |                  |
|--------------|------------------|
| Net Notional | 2,960,439,000.00 |
|--------------|------------------|

|                      |    |
|----------------------|----|
| Invoice at month End | NA |
|----------------------|----|

|                         |                 |
|-------------------------|-----------------|
| Additional information: | Clearing BNP #1 |
|-------------------------|-----------------|

|                  |      |
|------------------|------|
| Volcafe Clearing | ICBC |
|------------------|------|

Best regards,

Richard Goodwin.



Volcafe Ltd,  
Technoparkstrasse 7, CH8406, Winterthur, Switzerland  
Office: +41 52 264 94 56, Mobile: +41 79 961 04 69  
[rgoodwin@volcafe.ch](mailto:rgoodwin@volcafe.ch) | [www.volcafe.com](http://www.volcafe.com)



## Volcafe Cash Equity Confirmation

|            |        |            |
|------------|--------|------------|
| Trade Date | 20-Mar | Trade time |
| Value Date | 26-Mar | 12:11:29   |

|                          |                  |
|--------------------------|------------------|
| ED&F Man Capital Markets | BUYS             |
| Trader                   | OLIVER BOTTOMLEY |

|       |                                 |
|-------|---------------------------------|
| Stock | NOVO NORDISK A/S-B              |
| ISIN  | NOVOB DC Equity<br>DK0060534915 |

|               |            |
|---------------|------------|
| Number Shares | 12,000,000 |
|---------------|------------|

|        |               |
|--------|---------------|
| Splits | 8 x 1,500,000 |
|--------|---------------|

|             |           |
|-------------|-----------|
| CCY         | DKK       |
| Gross Price | 246.70000 |

|          |                  |
|----------|------------------|
| Notional | 2,960,400,000.00 |
|----------|------------------|

|           |           |
|-----------|-----------|
| Net Price | 246.70325 |
|-----------|-----------|

|              |                  |
|--------------|------------------|
| Net Notional | 2,960,439,000.00 |
|--------------|------------------|

|                      |    |
|----------------------|----|
| Invoice at month End | NA |
|----------------------|----|

|                         |                 |
|-------------------------|-----------------|
| Additional information: | Clearing BNP #1 |
|-------------------------|-----------------|

|                  |      |
|------------------|------|
| Volcafe Clearing | ICBC |
|------------------|------|

Best regards,

Richard Goodwin.

CONFIDENTIAL

Volcafe Ltd: Technoparkstrasse 7, CH-8406 Winterthur, Switzerland  
rgoodwin@volcafe.ch +41 52 264 94 94

ED&F-00048750



ED&amp;F-00048751

**Charlotte Woodward**

---

**From:** Andrew Wilkins <Andrew.Wilkins@makofinancialmarkets.com>  
**Sent:** 20 March 2014 11:24  
**To:** obottomley@edfmancapital.com  
**Subject:** Trade Confirm  
**Attachments:** 20140320TradeLogNFYSell.pdf

Good Morning,

Please find your trade confirm for NFY attached.

Kind Regards,

Andy Wilkins

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— MAKO —

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Is of your SALE

|               |                 |                 |                     |
|---------------|-----------------|-----------------|---------------------|
| <b>Expiry</b> | <b>Currency</b> | <b>Quantity</b> | <b>Market Price</b> |
| 16-Apr-14     | DKK             | 220,000         | 243.1300            |

es

ip LLP immediately if any of the above details are incorrect. If you do not notify us of any errors or omissions within 24 hours we will assume your agreement  
acts in the capacity of arranger, all counterparties understand, accept and agree that Mako Financial Markets Partnerships LLP is not a counterparty to the tra  
transaction, including the settlement of differences, are those of the relevant transaction counterparties.  
ining that each have the capacity, legal or otherwise to enter into the transaction.



**Charlotte Woodward**

---

**From:** GOODWIN, Richard (CH Volcafe)  
**Sent:** 20 March 2014 11:17  
**To:** Chris Henstock (Capital Markets) (chenstock@edfmpt.ae)  
**Cc:** Sara Mina (smina@edfmancapital.com); Victoria Foster (Capital Markets)  
**Subject:** Confirm 12 NOVOB DC T+4  
**Attachments:** 20032014NOVOBDC(EDFDubai2).pdf

Chris:

### Volcafe Cash Equity Confirmation

|  |  |                  |
|--|--|------------------|
| <b>Trade Date</b>  | 20-Mar   |                  |
| <b>Value Date</b>  | 26-Mar   |                  |
| <b>ED&amp;F MCM obo ED&amp;F Man Professional Trading Dubai<br/>Trader</b> | <b>SELLS</b><br>CHRIS HENSTOCK                               |                  |
| <b>Stock</b>   | <b>NOVO NORDISK A/S-B</b><br>NOVOB DC Equity<br>DK0060534915 |                  |
| <b>ISIN</b>  |  |                  |
| <b>Number Shares</b>   | 12,000,000   |                  |
| <b>Splits</b>  | 8 x 1,500,000  |                  |
| <b>CCY</b>   | DKK  |                  |
| <b>Gross Price</b>   | 246.70000  |                  |
| <b>Notional</b>  |  | 2,960,400,000.00 |
| <b>Net Price</b>   | 246.70000  |                  |
| <b>Net Notional</b>  |  | 2,960,400,000.00 |
| <b>Invoice at month End</b>  | NA   |                  |

**Additional information:** Clearing JP Morgan

**Volcafe Clearing** ICBC

Best regards,

Richard Goodwin.



Volcafe Ltd,  
Technoparkstrasse 7, CH8406, Winterthur, Switzerland  
Office: +41 52 264 94 56, Mobile: +41 79 961 04 69  
[rgoodwin@volcafe.ch](mailto:rgoodwin@volcafe.ch) | [www.volcafe.com](http://www.volcafe.com)



## Volcafe Cash Equity Confirmation

|  |                                 |                  |
|--|---------------------------------|------------------|
| Trade Date   | 20-Mar                          |                  |
| Value Date   | 26-Mar                          |                  |
| ED&F MCM obo ED&F Man Professional Trading Dubai<br>Trader | SELLS<br>CHRIS HENSTOCK         |                  |
| Stock  | NOVO NORDISK A/S-B              |                  |
| ISIN   | NOVOB DC Equity<br>DK0060534915 |                  |
| Number Shares  | 12,000,000                      |                  |
| Splits   | 8 x 1,500,000                   |                  |
| CCY  | DKK                             |                  |
| Gross Price  | 246.70000                       |                  |
| Notional   |                                 | 2,960,400,000.00 |
| Net Price  | 246.70000                       |                  |
| Net Notional   |                                 | 2,960,400,000.00 |
| Invoice at month End                                       | NA                              |                  |

## Additional information:

Clearing JP Morgan

## Volcafe Clearing

ICBC

Best regards,

Richard Goodwin.

CONFIDENTIAL

Volcafe Ltd: Technoparkstrasse 7, CH-8406 Winterthur, Switzerland  
rgoodwin@volcafe.ch +41 52 264 94 94

ED&amp;F-00048756



**Charlotte Woodward**

---

**From:** ROSS LIDDARD <rliddard@edfmancapital.com>  
**Sent:** 20 March 2014 11:15  
**Subject:** Bloomberg: Hello Chris,

**Greeting:** EQUITY FINANCE BROKER 0203 580 7639 07789 263 785 {B9} {#L}

---

Hello Chris,

To confirm ED&F DUBAI SELLS the following:

10,000,000 NCVOB DC (DK0060534915) @ DKK 246.70

SHAPES: 5 \* 1,500,000 + 1,000,000

TRADE DATE: 20-MAR

VALUE DATE: 26-MAR


Thanks, Ross

---

**Sent By :**

 **ROSS LIDDARD**, [rliddard@edfmancapital.com](mailto:rliddard@edfmancapital.com), [RLIDDARD3@Bloomberg.net](mailto:RLIDDARD3@Bloomberg.net), E D & F MAN CAPITAL

**Recipients :**

 **CHRIS HENSTOCK**, [chris.henstock@edfman.com](mailto:chris.henstock@edfman.com), [CHENSTOCK2@Bloomberg.net](mailto:CHENSTOCK2@Bloomberg.net), ED&F MAN PROFESSIONA

 **CHENSTOCK**, [CHENSTOCK@EDFMPT.AE](mailto:CHENSTOCK@EDFMPT.AE), Unknown Company

---

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Processed by **Global Relay Message Converter for Bloomberg** | V2.0.0 | File (BMAIL) Message 2477 | 2014-03-20 11:59:43 PM (EDT)







 **Retrieve**

## Details (38)

System Default 

| Index | Tag    | Value                      |
|-------|--------|----------------------------|
| 1     | 1 16R  | GENL                       |
| 2     | 2 20C  | :SEME//PG35CJ11A001        |
| 3     | 3 23G  | NEWM                       |
| 4     | 4 96C  | :PREP//201400012800        |
| 5     | 5 16R  | LINK                       |
| 6     | 6 13A  | :LINK//541                 |
| 7     | 7 20C  | :RELA/EI0007/774201        |
| 8     | 8 16S  | LINK                       |
| 9     | 9 16S  | GENL                       |
| 10    | 10 16R | TRADDEF                    |
| 11    | 11 58A | :TRAD//20140000            |
| 12    | 12 58A | :ESE7//20140000            |
| 13    | 13 35B | :ISIN/DX0000004315         |
| 14    | 14 000 | NOVO NOORDISK AS           |
| 15    | 15 16S | TRADDEF                    |
| 16    | 16 16R | FIAC                       |
| 17    | 17 96B | :ESTY//UNIT/150000.        |
| 18    | 18 97A | :SAFE//413000001000778002F |
| 19    | 19 97A | :CASH//413000001000724755K |
| 20    | 20 51F | :SAFE//CUST/INDEADKXXXX    |
| 21    | 21 16S | FIAC                       |
| 22    | 22 16R | SETDET                     |
| 23    | 23 22F | :SETP//TRAD                |
| 24    | 24 16R | SETPRTY                    |
| 25    | 25 96P | :DEAG//ESSEDKXXXX          |
| 26    | 26 16S | SETPRTY                    |
| 27    | 27 16R | SETPRTY                    |
| 28    | 28 96P | :SELL//RYTBEBXXXX          |
| 29    | 29 97A | :SAFE//00055119573         |
| 30    | 30 16S | SETPRTY                    |
| 31    | 31 16R | SETPRTY                    |
| 32    | 32 96P | :PSET//PDKD100000          |
| 33    | 33 16S | SETPRTY                    |
| 34    | 34 16R | AMT                        |
| 35    | 35 13A | :ESTY//DI0070064875.       |
| 36    | 36 58A | :VALU//20140000            |
| 37    | 37 16S | AMT                        |
| 38    | 38 16S | SETDET                     |

Release

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1. Modify

Tag Value

ED&F-00048759



SWIFT Message Detail

Message ID 2713570

Retrieve

## SWIFT Information

## Msg Type

545

## Status

Closed

## Related Ref

N/A

## Transaction Ref

PG325CJT9A001

## Asset Series

277425

## Reason Code

N/A

## Trailer Hdr

{MAC:00000000}{CHK:ABD4E4ED6D4E}

## Basic Hdr

F01MACVGB22AXX0624077301

## Load User

SHSYSTEM

## Load Port

S608207NJ3VW07

## Proc Date

{NULL}

## Duration

N/A

## ACK Date

{NULL}

## File Name

06046257.TXT

## Memo

## Direction

From Swift

## Loc ID

N/A

## LocSWIFT ID

N/A

## Purpose

N/A

## Curr Series

277424

## External ID

N/A

## User Hdr

{108:ISI419025DHIA000}

## App Hdr

0545123314D326PARBFRPPCXXX01974730451403261133N

## Load Date

26 03 2014 07:36:21

## Proc User

N/A

## Proc Port

N/A

## Instruction Date

N/A

OATS ☐OATS Sent ☐

## Details (38)

Show Groups

System Default

| Index | Tag    | Value                      |
|-------|--------|----------------------------|
| 1     | 1 16R  | GENL                       |
| 2     | 2 20C  | :SEMG/PG325CJT9A001        |
| 3     | 3 23G  | NCWM                       |
| 4     | 4 58C  | :PREP/20140326122658       |
| 5     | 5 16R  | LINK                       |
| 6     | 6 13A  | :UN07/5 H                  |
| 7     | 7 20C  | :REI A/E1000X27742401      |
| 8     | 8 16S  | LINK                       |
| 9     | 9 16S  | GENL                       |
| 10    | 10 16R | TRADEP                     |
| 11    | 11 58A | :TRAD/20140326             |
| 12    | 12 58A | :ESET/20140326             |
| 13    | 13 36B | :SIN DXX000004915          |
| 14    | 14 000 | N0V0 N0R0ISY AS            |
| 15    | 15 16S | TRADEP                     |
| 16    | 16 16R | FIAC                       |
| 17    | 17 36B | :ESTT/UNIT/1500000,        |
| 18    | 18 97A | :SAFE/4132000010000773023F |
| 19    | 19 97A | :CASH/41320000100007247501 |
| 20    | 20 91F | :SAFE/CUST/NDEAD00000X     |
| 21    | 21 16S | FIAC                       |
| 22    | 22 16R | SETDET                     |
| 23    | 23 22F | :SETR/SETRAD               |
| 24    | 24 16R | SETPRTY                    |
| 25    | 25 96P | :DEAG/ESSEDI00000X         |
| 26    | 26 16S | SETPRTY                    |
| 27    | 27 16P | SETPRTY                    |
| 28    | 28 96P | :SEI/IRVTBES000X           |
| 29    | 29 97A | :SAFE/00005114373          |
| 30    | 30 16S | SETPRTY                    |
| 31    | 31 16R | SETPRTY                    |
| 32    | 32 96P | :SEI/VMDX/DI00000X         |
| 33    | 33 16S | SETPRTY                    |
| 34    | 34 16R | AMT                        |
| 35    | 35 13A | :ESTT/DI00070064875,       |
| 36    | 36 58A | :VAI/20140326              |
| 37    | 37 16S | AMT ED&F-00048760          |
| 38    | 38 16S | SETDET                     |

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## SWIFT Message Detail

Message ID 2713552

Ret

## SWIFT Information

|                                |   |
|--------------------------------|---|
| <b>Msg Type</b>                | <b>Direction</b>                                |
| 545                            | From Swift                                      |
| <b>Status</b>                  | <b>Loc ID</b>                                   |
| Closed                         | N/A   |
| <b>Related Ref</b>             | <b>Loc SWIFT ID</b>                             |
| N/A                            | N/A   |
| <b>Transaction Ref</b>         | <b>Purpose</b>                                  |
| PG325CJU2A001                  | N/A   |
| <b>Asset Series</b>            | <b>Curr Series</b>                              |
| 277427                         | 277426  |
| <b>Reason Code</b>             | <b>External ID</b>                              |
| N/A                            | N/A   |
| <b>Trailer Hdr</b>             | <b>User Hdr</b>                                 |
| {MAC:00000000XCHK2814E49D151E} | {1081SI4190850HHM000}                           |
| <b>Basic Hdr</b>               | <b>App Hdr</b>                                  |
| F01MACVGB22AXXX0624077292      | 05451232140326PARBFRPPCXXX21974730421403261133N |
| <b>Load User</b>               | <b>Load Date</b>                                |
| SHSYSTEM                       | 26-03-2014 07:36:20                             |
| <b>Load Port</b>               | <b>Proc User</b>                                |
| 5608207NJ3W07                  | N/A   |
| <b>Proc Date</b>               | <b>Proc Port</b>                                |
| [NULL]                         | N/A   |
| <b>Duration</b>                | <b>Instruction Date</b>                         |
| N/A                            | N/A   |
| <b>ACK Date</b>                | <b>OATS</b> <input type="checkbox"/>            |
| [NULL]                         | <b>OATS Sent</b> <input type="checkbox"/>       |
| <b>File Name</b>               |   |
| D6846857.TXT                   |   |
| <b>Memo</b>                    |   |

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## Details (38)

Show Groups

System Default

| Index | Tag    | Value                      |
|-------|--------|----------------------------|
| 1     | 1 16R  | GENL                       |
| 2     | 2 20C  | SEMG/PG325CJU2A001         |
| 3     | 3 23G  | NEWM                       |
| 4     | 4 36C  | PREP/20140326122900        |
| 5     | 5 16R  | LINK                       |
| 6     | 6 13A  | LR87/541                   |
| 7     | 7 20C  | REI A/E10000/7742701       |
| 8     | 8 16S  | UNP                        |
| 9     | 9 16S  | GENL                       |
| 10    | 10 16R | TRADET                     |
| 11    | 11 98A | TRAD/20140320              |
| 12    | 12 98A | ESE/20140326               |
| 13    | 13 36B | ISIN DM0000004315          |
| 14    | 14 000 | NORVO NOROIS/AS            |
| 15    | 15 16S | TRADET                     |
| 16    | 16 16R | FIAC                       |
| 17    | 17 36B | ESTT/UNIT/1500000          |
| 18    | 18 97A | SAFE/41329000010000778820F |
| 19    | 19 97A | CASH/41329000010000/34796K |
| 20    | 20 94F | SAFE/CUST/NDADK00000       |
| 21    | 21 16S | FIAC                       |
| 22    | 22 16R | SETDEF                     |
| 23    | 23 22F | SETR/TRADE                 |
| 24    | 24 16R | SETPRTY                    |
| 25    | 25 96P | DEAG/ESSEDK00000           |
| 26    | 26 16S | SETPRTY                    |
| 27    | 27 16R | SETPRTY                    |
| 28    | 28 96P | SEML/IRVT8E68XXX           |
| 29    | 29 97A | SAFE/00256114373           |
| 30    | 30 16S | SETPRTY                    |
| 31    | 31 16R | SETPRTY                    |
| 32    | 32 96P | PSET/VPOKDK00000           |
| 33    | 33 16S | SETPRTY                    |
| 34    | 34 16R | AMT                        |
| 35    | 35 13A | ESTT/DIG0870054875         |
| 36    | 36 98A | VALU/20140326              |
| 37    | 37 16S | AMT                        |
| 38    | 38 16S | SETDEF                     |

ED&amp;F-00048761



## SWIFT Message Detail

Message ID 2706912

## SWIFT Information

## Msg Type

545

## Status

Closed

## Related Ref

N/A

## Transaction Ref

PG325C/LU6A001

## Asset Series

277428

## Reason Code

N/A

## Trailer Hdr

{MAC:00000000}{CHK:4244845C154E}

## Basic Hdr

F01MACVG-HZAXXX0624074074

## Load User

SHSYSTEM

## Load Port

S608207NJ3VW07

## Proc Date

[NULL]

## Duration

N/A

## ACK Date

[NULL]

## File Name

06846764.TXT

## Memo

## Direction

From Swift

## Loc ID

N/A

## Loc SWIFT ID

N/A

## Purpose

N/A

## Curr Series

277428

## External ID

N/A

## UserHdr

{108:ISI41908502V/S000}

## App Hdr

O5450143140325PARFRPPKXXX49809468581403260043N

## Load Date

25-03-2014 01:00:47

## Proc User

N/A

## Proc Port

N/A

## Instruction Date

N/A

OATS

OATS Sent

## Details (38)

Show Groups

System D

| Index | Tag    | Value                        |
|-------|--------|------------------------------|
| 1     | 1 16R  | GENL                         |
| 2     | 2 20C  | :SEME/PG325C/LU6A001         |
| 3     | 3 23G  | NEWM                         |
| 4     | 4 38C  | :PREP/20140325014086         |
| 5     | 5 16R  | LINK                         |
| 6     | 6 13A  | LINK/541                     |
| 7     | 7 20C  | :RELWE/0000/7742801          |
| 8     | 8 16S  | LINK                         |
| 9     | 9 16S  | GENL                         |
| 10    | 10 16R | TRADDEF                      |
| 11    | 11 58A | :TRAD/20140320               |
| 12    | 12 58A | :ESET/20140306               |
| 13    | 13 36B | ISN DX0007984315             |
| 14    | 14 000 | NOV0 NORRISKAS               |
| 15    | 15 16S | TRADDET                      |
| 16    | 16 16R | FIAC                         |
| 17    | 17 36B | :ESTT/UNI/1500000            |
| 18    | 18 97A | :SAFE/141329000010000778883F |
| 19    | 19 97A | :CASU/141329000010000724756K |
| 20    | 20 51F | :SAFE/CUST/NBEADKXXXX        |
| 21    | 21 16S | FIAC                         |
| 22    | 22 16R | SETDEF                       |
| 23    | 23 22F | :SETP/TRAD                   |
| 24    | 24 16R | SETPRTY                      |
| 25    | 25 56P | :DEAG/08SEDKXXXX             |
| 26    | 26 16S | SETPRTY                      |
| 27    | 27 16R | SETPRTY                      |
| 28    | 28 56P | :SEL/IRVTBEBBXX              |
| 29    | 29 97A | :SAFE/0825114373             |
| 30    | 30 16S | SETPRTY                      |
| 31    | 31 16R | SETPRTY                      |
| 32    | 32 56P | :PSET/VPOKDKXXXX             |
| 33    | 33 16S | SETPRTY                      |
| 34    | 34 16R | AMT                          |
| 35    | 35 13A | :ESTT/D10875004475           |
| 36    | 36 58A | :SAFE/0825114373             |
| 37    | 37 16S | AMT                          |
| 38    | 38 16S | SETDEF                       |

CONFIDENTIAL

Retrieval

## Details (38)

Direction

From Scott

Loc ID

44

Loc 5 WIFT ID

NA

### Purpose

NO

Curt Series

277430

External ID



User Hdr

(103-54-9025-02-5,000)

App #148

054501431401062AR8FRPP1X003062D7531403250043N

Load Date

26-03-2014 01:00:43

Proc IISer

24

Proc Port

N/A

Instruction Date

NA

OATS 7

OATS Sent ☐

CONFIDENTIAL

## Silver Group

System Default

| Index | Tag    | Value                      |
|-------|--------|----------------------------|
| 1     | 1 16R  | GENL                       |
| 2     | 2 20C  | .B8M6//PG325CJU5A001       |
| 3     | 3 23G  | NEVPM                      |
| 4     | 4 80C  | PFPR/20140328014055        |
| 5     | 5 16R  | LNK                        |
| 6     | 6 13A  | LNK/541                    |
| 7     | 7 20C  | RELAT/1000327743101        |
| 8     | 8 16S  | LNK                        |
| 9     | 9 16S  | GENL                       |
| 10    | 10 16R | TRACDET                    |
| 11    | 11 98A | TRACD/20140320             |
| 12    | 12 98A | ESST/20140326              |
| 13    | 13 36B | ISM DN060634815            |
| 14    | 14 000 | NOVO NORDISK AS            |
| 15    | 15 16S | TRACDET                    |
| 16    | 16 16R | PLAC                       |
| 17    | 17 36B | ESTT//URTY1300000          |
| 18    | 18 97A | SAFE/41328000010000779523F |
| 19    | 19 97A | CASH/41328000010000724796C |
| 20    | 20 94F | SAFE/CUST/NOEADNMXXXX      |
| 21    | 21 16S | PLAC                       |
| 22    | 22 16R | SETDET                     |
| 23    | 23 22F | SEYR/TRAD                  |
| 24    | 24 16R | SETPRTY                    |
| 25    | 25 95P | DEAG/ES56DN0000XX          |
| 26    | 26 16S | SETPRTY                    |
| 27    | 27 16R | SETPRTY                    |
| 28    | 28 95P | SELL/RRVT8E88XXX           |
| 29    | 29 97A | SAFE/09285114373           |
| 30    | 30 16S | SETPRTY                    |
| 31    | 31 16R | SETPRTY                    |
| 32    | 32 95P | PSET/VPDKD00000XX          |
| 33    | 33 16S | SETPRTY                    |
| 34    | 34 16R | AMT                        |
| 35    | 35 19A | ESTT//DN0370054875         |
| 36    | 36 98A | VALU/20140328              |
| 37    | 37 16S | AMT                        |
| 38    | 38 16S | SETDET                     |

ED&F-00048763